

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Application Number : 10/561,793 Confirmation No.: 3642  
Applicant : Guy VANCANNEYT, *et al.*  
Filed : December 21, 2005  
35 U.S.C. § 371 Date : June 9, 2006  
Title : METHODS AND MEANS FOR DELAYING SEED  
SHATTERING IN PLANTS  
TC/Art Unit : 1638  
Examiner: : Li Zheng  
Docket No. : 58764.000055  
Customer No. : 21967

**MAIL STOP MISSING PARTS**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**SECOND REQUEST FOR CORRECTED FILING RECEIPT**

Sir:

Applicants filed a Request for Corrected Filing Receipt on September 14, 2006, requesting that a Corrected filing Receipt be issued to add -- UNITED STATES -- in the "Assignment For Published Patent Application" section after the words "The Regents of the University of California, Oakland."

The Corrected Filing Receipt mailed on September 28, 2006, from the U.S. Patent and Trademark Office did not include the correction previously requested by Applicant. Accordingly, Applicants respectfully request that a Corrected Filing Receipt be issued in the above-captioned patent application.

Applicants enclose copies of the following items:

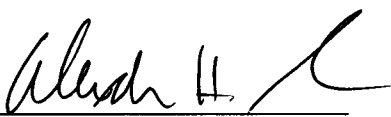
- (1) Copy of Request for Corrected Filing Receipt filed September 14, 2006;
- (2) Copy of PTO receipt postcard date-stamped September 14, 2006; and
- (3) Copy of Corrected Filing Receipt mailed September 28, 2006, with the requested correction marked in red.

Applicants believe that no fees are due. However, in the event of any variance between the fees determined by Applicants and those determined by the U.S. Patent and Trademark Office, please charge any such variance to the undersigned's **Deposit Account No. 50-0206**.

Respectfully submitted,  
Hunton & Williams LLP

Dated: July 6, 2007

By:



Robert M. Schulman  
Registration No. 31,196

Alexander H. Spiegler  
Registration No. 56,625

HUNTON & WILLIAMS, LLP  
1900 K Street, N.W.  
Washington, D.C. 20006-1109  
(202) 955-1500 (telephone)  
(202) 778-2201 (facsimile)



## UNITED STATES PATENT AND TRADEMARK OFFICE

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UNITED STATES DEPARTMENT OF COMMERCE  
 United States Patent and Trademark Office  
 Address: COMMISSIONER FOR PATENTS  
 P.O. Box 1450  
 Alexandria, Virginia 22313-1450  
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APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/561,793	06/09/2006	1618	1530	58764.000055	1	30	2

CONFIRMATION NO. 3642

21967

HUNTON & WILLIAMS LLP  
 INTELLECTUAL PROPERTY DEPARTMENT  
 1900 K STREET, N.W.  
 SUITE 1200  
 WASHINGTON, DC 20006-1109

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Hunton &amp; Williams LLP

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CORRECTED FILING RECEIPT



\*OC000000020613225\*

B 10-5-06

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Date Mailed: 09/28/2006

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please mail to the Commissioner for Patents P.O. Box 1450 Alexandria Va 22313-1450. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Guy Vancanneyt, Gentbrugge, BELGIUM;  
 Martin Yanofsky, San Diego, CA;  
 Sherry Kempin, San Diego, CA;

## Assignment For Published Patent Application

Bayer Bioscience N.V., Gent, BELGIUM  
 The Regents of the University of California, Oakland

-- , UNITED STATES --

**Power of Attorney:** The patent practitioners associated with Customer Number 21967.

## Domestic Priority data as claimed by applicant

This application is a 371 of PCT/EP04/06888 06/23/2004

## Foreign Applications

EUROPEAN PATENT OFFICE (EPO) 03076952.5 06/23/2003  
 If Required, Foreign Filing License Granted: 07/21/2006

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is **US10/561,793**

Projected Publication Date: 11/02/2006

**Non-Publication Request:** No

**Early Publication Request:** No

**Title**

Methods and means for delaying seed shattering in plants

**Preliminary Class**

800

## **PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES**

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process **simplifies** the filing of patent applications on the same invention in member countries, but **does not result** in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

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Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at <http://www.uspto.gov/web/offices/pac/doc/general/index.html>.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, <http://www.stopfakes.gov>. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4158).

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### **LICENSE FOR FOREIGN FILING UNDER Title 35, United States Code, Section 184 Title 37, Code of Federal Regulations, 5.11 & 5.15**

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The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as

set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Bureau of Industry and Security, Department of Commerce (15 CFR parts 730-774); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

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PATENT

DESIGN  
PCT

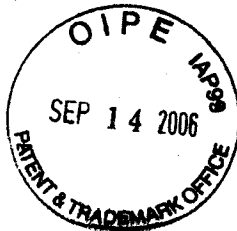
☐ PROVISIONAL  
☒ UTILITY

Application No.: 10/561,793  
Client/Matter No.: 58764.000055  
Inventor(s): GUY VANCANNEYT  
Title: METHODS AND MEANS FOR DELAYING SEED SHATTERING IN PLANTS

Date: SEPTEMBER 14, 2006  
Client: BAYER BIOSCIENCE N.V.  
Atty/Sec.: RMS/AHS:cdh

The following has been received in the U.S. Patent and Trademark Office on the date stamped hereon:

- ☒ Request for Corrected Notice of Recordation of Assignment Document; copy of Notice of Recordation of Assignment with corrections marked in red; copy of Recordation form cover sheet filed June 9, 2006
- ☒ Request for Corrected Filing Receipt; copy of Filing Receipt with corrections marked in red
- ☒ Receipt Postcard (Green)



58764.000055 WASHINGTON 62604601

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A 9/15/06

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

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Applicant : Guy VANCANNEYT et al.  
Filed : June 9, 2006  
Title : METHODS AND MEANS FOR DELAYING SEED SHATTERING  
IN PLANTS  
TC/Art Unit : 1618  
Examiner: : *Unassigned*  
Docket No. : 58764.000055  
Customer No. : 21967

**REQUEST FOR CORRECTED FILING RECEIPT**

**MAILSTOP MISSING PARTS**

Commissioner of Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Applicants respectfully request that a Corrected Filing Receipt be issued in the above-captioned patent application. A copy of the Filing Receipt, with the correction marked in red is enclosed herewith. Specifically, in the "Assignment For Published Patent Application" section, please add:

The Regents of the University of California, Oakland, UNITED STATES

Applicants respectfully request that a Corrected Filing Receipt reflecting this correction be issued in the above-captioned patent application.

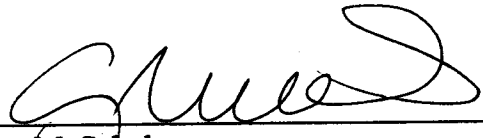
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Respectfully submitted,

HUNTON & WILLIAMS

Dated: September 14, 2006

By:

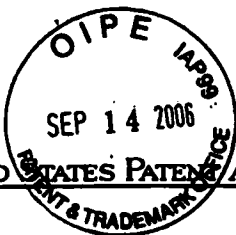
  
Robert M. Schulman  
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CONFIRMATION NO. 3642

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Date Mailed: 07/25/2006

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Bayer Bioscience N.V., Gent, BELGIUM

THE REGENTS OF THE UNIVERSITY OF CALIFORNIA, OAKLAND, UNITED STATES

Power of Attorney: The patent practitioners associated with Customer Number 21967.**Domestic Priority data as claimed by applicant**

This application is a 371 of PCT/EP04/06888 06/23/2004

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If Required, Foreign Filing License Granted: 07/21/2006

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Projected Publication Date: 11/02/2006

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**Early Publication Request: No**

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